



CPIC Overview

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IHS CPIC Manager

Agenda



- Background
- What is CPIC?
- Exhibit 300
- Earned Value Management
- CPIC Schedule
- HHS Investment Ranking
- Accomplishments
- Next Steps
- ITIRB

Background



- Federal Managers Financial Integrity Act of 1982, Chief Financial Officers Act of 1990 and Federal Financial Management Improvement Act of 1996
- Government Performance and Results Act (GPRA) of 1993
- Federal Acquisition Streamlining Act of 1994, Title V (FASA V)
- Paperwork Reduction Act of 1995
- **Information Technology Management Reform Act of 1996 (Clinger-Cohen Act)**
- Federal Information Security Management Act (FISMA) 2002
- E-Government Act of 2002

Capital Planning Investment Control (CPIC)



- Select Phase
 - Screening
 - Pre-Selection
 - Prioritization
- Control Phase
 - Periodic Reviews
 - Milestone Reviews
- Evaluate Phase
 - Post Implementation Review
 - Annual Evaluation

IHS CPIC Council



CPIC Manager- Carl Gervais (December 2005)

Michelle Riedel (March 2006)

RPMS- Howard Hays (September 2006)

Greta Ziegler (March 2006)

Kathy Keats (March 2006)

IOAT – Rob McKinney (February 2006)

Catherine Thompkins (March 2006)

NPIRS –Stan Griffith

Cissy Henig

LMI Contract – Exhibit 300 Review

John Redding – contract outstanding for FY07

OMB Circular A-11

Exhibit 300



- Exhibit 300 business case
 - Integral artifact in the CPIC process
 - Standard reporting format for internal and external use
 - Provides a detailed budget justification to OMB for budget requests
- IHS has 3 investments (2 major and 1 tactical)
 - RPMS
 - IOAT
 - NPIRS

Earned Value Management (EVM)



- As required by the Office of Management and Budget (OMB) Memorandum M-05-23, HHS investments will use EVM to monitor their cost, schedule, and performance.
- As of February 2005, the CPIC process was augmented to incorporate monthly EVM reporting for Development, Modernization, and Enhancement (DME) IT investments.

CPIC Monthly Activities



CPIC monthly activities include:

- Submit EVM information to HHS by the 12th of the month
- IHS CPIC meeting

CPIC Schedule



January

- President's Budget for next fiscal year is presented to Congress
- Depending on budget allocation we may have to re-baseline

February

- The OMB mandated IBV for Tier 1 investments submitted to HHS

March

- If there is a re-baseline, the proposal is due to HHS the end of March
- Discuss at the March CPIC Council meeting the OMB 300 requirements for the upcoming Portfolio Prioritization phase within the Select process
- Submit the internal IHS CPIC Scorecard to the CPIC Council

CPIC Schedule cont..



April

- ProSight data entry for Prioritization Process must be completed by the end of April. Actual dates will be provided by HHS

May

- Prioritization Process review by HHS OCIO and Enterprise Architecture Review Board (EARB) begins; OCIO and EARB verify FEA BRM alignments and determine Investment Classification and EVM Tier for all IT investments
- HHS ITIRB presentation materials distributed for review by HHS ITIRB members
- Draft & Final Prioritization Portfolio created by HHS CPIC Team

June

- ProSight data entry for Portfolio Prioritization must be completed the first part of June.
- Portfolio Prioritization review by EARB to determine (1) alignment with IT strategic and tactical plans, (2) performance goals and measures, (3) alternative analysis and potential redundancies, (4) extent documented in EA repository, and (5) consistency with target architectures
- Other Critical Partner reviews (Human Resources, Finance, Acquisition, Security, EA)
- Continue entering data into ProSight according to feedback received from Critical Partners and HHS
- **Ranking of Prioritization Portfolio**
- Presentation of Prioritized Portfolio to HHS ITIRB for review and approval (Mid-June).
- Secretary's Budget Council meetings begin (Late June)
- Submit the internal IHS CPIC Scorecard to the CPIC Council

CPIC Schedule cont..



July

- Continue entering data into ProSight according to feedback received from Critical Partners and HHS
- Draft OMB Circular A-11 Section 53 sent to OMB for review and evaluation of IT budget information

August

- Continue entering data into ProSight according to feedback received from Critical Partners and HHS

September

- **Submit Exhibit 300s and Section 53s to HHS for submission to OMB (Early September)**
- Congress passes continuing resolution if they have not approved the budget for the next fiscal year, beginning in October

CPIC Schedule cont..



October

- Submit the internal IHS CPIC Scorecard to the CPIC Council

November

- Late November: OMB pass back identifying issues with 300 submissions. Any corrections must be made within a week and submitted back to HHS

HHS Investment Scoring



- HHS scores investments according to established criteria
 - HHS internal scorecard for BY08: IOAT scored a 35 and RPMS scored a 36
- HHS ranked IHS investments overall against the other 107 investments within HHS:
 - RPMS #3
 - NPIRS #7
 - IOAT #35

CPIC Accomplishments



- IHS has continually improved the documented Exhibit 300s for the IOAT, RPMS and NPIRS investments:
 - For BY05 the 300 submission received an initial summary score of 2 – a failing score
 - Exhibits were revised over a short period and resubmitted and all three investments received a revised rating of 3
- In June 2006 Investments were rebaselined
- Created CPIC process documents:
 - CPIC Charter
 - CPIC Policy and Procedures
 - EVM Policy and Procedures
 - PMO Governance Structure
 - Project Management Framework
- Established monthly EVM variance reporting
- Established monthly CPIC meetings

Next Steps



- Dekker training and implementation
 - October 23-24 training schedule in Albuquerque
- Submission of the BY09 OMB Exhibit 300
- Review of investments and possible rebaseline
- Refine financial data that is being collected for EV reporting
- Integrate with UFMS

IHS ITIRB Background



The re-establishment of the Information Technology Investment Review Board (ITIRB) of the Indian Health Service is mandated by the IHS Capital Planning Investment Control (CPIC) Policy and Procedures. The ITIRB is the official reviewing body for IT investments, including all major initiatives and expenditures. The ITIRB will:

- Ensure IT resources support the mission of the IHS/Tribal/Urban programs
- Promote the life cycle management of IT systems as "capital investments"
- Ensure the approval of IT system projects are based on established selection criteria

ITIRB Documents



The following ITIRB documents are currently in review:

- ITIRIB Charter
- ITIRB Policy and Procedures

ITIRB Membership



The ITIRB membership will include seven permanent members and four rotating members.

- The seven permanent members or designees are:
 - Executive Officer
 - Chief Information Officer (CIO) - Chair
 - Chief Financial Officer (CFO)
 - Chief Medical Officer (CMO)
 - Information Systems Advisory Council (ISAC) (Federal Co-Chair)
 - Information Systems Advisory Council (ISAC) (Tribal Co-Chair)
 - Director Acquisition Policy (Ex-officio)
- The four rotating members will include:
 - Two Office Directors
 - Two tribal organization representatives

The rotating members will be selected for a term not to exceed three years by the Chair.



Questions?